

Appendix B

**Historic Scotland
Risk Register and Records**

January 2007

Responsible Area	Business	Risk Description	Current Status	Previous Status
Short Term Risks				
Human Resources	1.	Failure of Pay Negotiations	High	High
Finance Group	2.	Lack of business continuity planning – key IT systems	Extreme	Extreme
Inspectorate	3.	Poor access to training resources to allow culture change, business improvement and succession planning (eg management and customer services training).	High	Extreme
Inspectorate	4.	Poor definition and assessment of stakeholder relationship leading to a lack of understanding, lack of support and poor working relationships.	High	High
TCRE	5.	Absence or loss of stakeholders or partners.	Extreme	Extreme
Inspectorate	6.	Poor quality information system for controlling statutory casework handling.	Extreme	Extreme
Inspectorate	7.	Lack of resources to shift towards “upstream” engagement in statutory casework and statutory protection processes.	High	High

Long Term Risks			
Chief Executive	8. Loss of reputation	High	High
PIC	9. Damage to historic environment (deterioration, weather, vandalism etc)	Moderate	Moderate
PIC	10. Reduced visitor numbers due to external factors (eg unforeseen event (9/11), strength of sterling, competitor's marketing etc)	Moderate	Moderate