

HS Board Quarterly Risk Report

September 2006

| New Risks | | |
|-----------------------|-------------------------|-----------------------------|
| Risk reference | Risk description | Reason for inclusion |
| No New Risks | | |

| Amended Risks | | |
|---|------------------------------|--|
| Risk reference | Risk description | Reason for inclusion |
| Poor incident response planning | Change from High to Moderate | Incident response team now meeting; guidelines issued to sites; scenario planning started. |
| Inability to recruit/retain key staff - ISU | Change from Extreme to High | New members of staff recruited |

| Removed Risks | | |
|-----------------------|-------------------------|---------------------------|
| Risk reference | Risk description | Reason for removal |
| No Removed Risks | | |

| Extreme Risks | | |
|-----------------------|---|--|
| Risk reference | Risk description | Response and Recent Action |
| Risk 3 Finance Group | Lack of Business Continuity Planning – IT systems | Need to identify relevant systems Formal requirement for identified systems to have continuity plans and be tested. ISU to inspect continuity plans ISU staffing is now in place. The business continuity plans will be reviewed from November. March 2007 |
| Risk 4 Inspectorate | Poor access to training resources to allow culture change, business improvement and succession planning (eg management and customer services training). | Identification and implementation of long-term management training programme across all Inspectorate staff. Training strategy agreed. Implementation ongoing. Ongoing |
| Risk 6 TCRE | Absence or loss of key stakeholders | Identify key staff for development No progress to date. Staffing difficulties have prevented this. |
| Risk 7 Inspectorate | Poor quality information system for controlling statutory casework handling. | Scope develop and implement new computer-based system Project identified for new information system. Ongoing March 2007 |
| Risk 10 Inspectorate | Poor quality internal management information systems leading to poor management control and ability to respond to performance/resource issues. | Scope develop and implement new computer-based system Project identified for new information system. Ongoing March 2007 |

Notes

1. To note that planning in the event of a flu pandemic is underway and a new documented risk will be added in the first quarter of 2007.