

## Appendix B

### Historic Scotland Risk Register and Records

September 2006

Responsible Area	Business Area	Risk Description	Current Status	Previous Status
<b>Short Term Risks</b>				
Human Resources		1. Failure of Pay Negotiations	High	High
Finance Group		2. Inability to recruit / retain key staff - ISU	Moderate	Extreme
Finance Group		3. Lack of business continuity planning – key IT systems	Extreme	Extreme
Inspectorate		4. Poor access to training resources to allow culture change, business improvement and succession planning (eg management and customer services training).	Extreme	Extreme
Inspectorate		5. Poor definition and assessment of stakeholder relationship leading to a lack of understanding, lack of support and poor working relationships.	High	High
TCRE		6. Absence or loss of stakeholders or partners.	Extreme	Extreme
Inspectorate		7. Poor quality information system for controlling statutory casework handling.	Extreme	Extreme
PIC		8. Lack of / poor disaster planning/continuity - PIC	Moderate	High

Inspectorate	9. Lack of resources to shift towards “upstream” engagement in statutory casework and statutory protection processes.	High	High
Inspectorate	10. Poor quality internal management information systems leading to poor management control and ability to respond to performance/resource issues.	Extreme	Extreme
Policy	11. Failure to meet obligations as SEA/EIA Consultation Authority	High	High
<b>Long Term Risks</b>			
Chief Executive	12. Loss of reputation	High	High
PIC	13. Damage to historic environment (deterioration, weather, vandalism etc)	Moderate	Moderate
PIC	14. Reduced visitor numbers due to external factors (eg unforeseen event (9/11), strength of sterling, competitor’s marketing etc)	Moderate	Moderate